



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 9354.00

Application of

Monty Gatehouse et al.

Serial No. 09/885,515

Filed: June 20, 2001

FOR: MEANS FOR AND METHOD OF DISPLAYING  
A VISUAL DECISION TREE MODEL

RESPONSE TO  
NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION  
FILING DATE GRANTED

Confirmation No.: 3359

Group Art Unit: 2122

Examiner: Unknown

SEP 24 2001

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on  
SEP 24 2001 (Date of Deposit).

  
Shirley Doll

Assistant Commissioner for Patents  
Washington, D.C. 20231  
**Box Missing Part**

Sir:

In response to the above-named Notice dated **August 13, 2001**, relative to the above-named application, Applicant hereby submits signed formal application papers and an assignment for recording to complete the file and authorizes the following fees from NCR Deposit Account No. **14-0225**:

1. Surcharge for Incomplete Application	\$130.00
2. Assignment and Recording Fee	<u>40.00</u>
<b>Total Charge</b>	<b>\$170.00</b>

Please charge any deficiency or credit any overpayment of fees to NCR Deposit Account No. 14-0225.

A copy of said Notice is included herewith.

Examination on the merits is respectfully requested.

Respectfully submitted,



Michael Chan

Reg. No. 33,663

Attorney for Applicant(s)

NCR Corporation, Law Department, ECD-2  
101 West Schantz Avenue, Dayton, OH 45479-0001  
Tel. No. 937-445-4956/Fax No. 937-445-3733



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/885,515	06/20/2001	Monty Gatehouse	9354.00

CONFIRMATION NO. 3359

## FORMALITIES LETTER



\*OC000000006417970\*

Michael Chan  
Intellectual Property Section  
Law Department, NCR Corporation  
1700 South Patterson Blvd.  
Dayton, OH 45479-0001

Date Mailed: 08/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

**RECEIVED**

AUG 16 2001

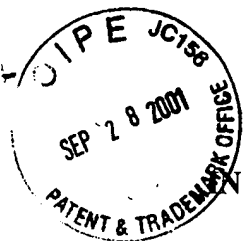
10/01/2001 HNDOR1 00000137 140225 09885515  
01 FC:105 130.00 CH

**LAW DEPARTMENT**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Receipt  
#5

THE UNITED STATES PATENT AND TRADEMARK OFFICE

NCR Docket No. 9354.00

**REQUEST FOR CORRECTED  
FILING RECEIPT**

Application of

SEP 24 2001

Monty Gatehouse et al.

Serial No. 09/885,515

Group Art Unit: 2122

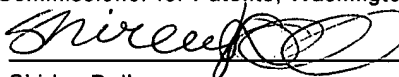
Filed: June 20, 2001

Examiner: Unknown

For: **MEANS FOR AND METHOD OF DISPLAYING  
A VISUAL DECISION TREE MODEL**

**CERTIFICATE OF MAILING**

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Shirley Doll

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: **Office of Initial Patent Examination's  
Customer Service Center**

Sir:

This is in response to receiving the Official Filing Receipt in the above-identified application, a copy indicating the corrections is enclosed herewith. Please correct the residence of the inventors as follows:

Monty Gatehouse, UNITED KINGDOM

Hendrik J. Schipper, NETHERLANDS

Ian P. Buxton, UNITED KINGDOM

It is requested that a corrected filing receipt be issued in this application.

Respectfully submitted,

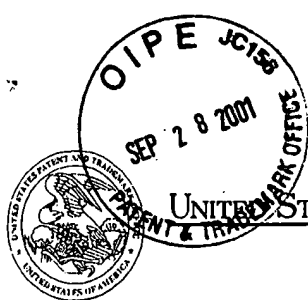


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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/885,515	06/20/2001	2122	710	9354.00	3	12	2

CONFIRMATION NO. 3359

## FILING RECEIPT



\*OC00000006417969\*

Michael Chan  
Intellectual Property Section  
Law Department, NCR Corporation  
1700 South Patterson Blvd.  
Dayton, OH 45479-0001

Date Mailed: 08/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Monty Gatehouse, ~~Residence Not Provided~~, UNITED KINGDOM  
Hendrik J. Schipper, ~~Alkmaar~~, NETHERLANDS;  
Ian P. Buxton, ~~Residence Not Provided~~, UNITED KINGDOM

## Domestic Priority data as claimed by applicant

## Foreign Applications

UNITED KINGDOM 0015404.7 06/24/2000

If Required, Foreign Filing License Granted 08/13/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Means for and method of displaying a visual decision tree model

## Preliminary Class

RECEIVED  
AUG 16 2001  
LAW DEPARTMENT